

PTO/SB/01 (12-97)
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION FOR LITHETY OF	Attorney Docket Number	50740(3)					
DECLARATION FOR UTILITY OR DESIGN	First Named Inventor	BLACK, PETER M.					
PATENT APPLICATION	COMPLETE IF KNOWN						
(37 CFR 1.63)	Application Number						
<b>V</b>	Filing Date						
□ Declaration     □ Declaration     Submitted OR Submitted after Init	Group Art Unit						
with Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Examiner Name						

ereby declare that:						
, and citizenship are	as stated below ne	ext to my i	name.			
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:  TARGETED E-COMMERCE SYSTEM						
(Title	e of the Invention)					
Y)		as United	States Applica	tion Number or P	CT International	
and w	as amended on (M	M/DD/YY	YY)		(if applicable).	
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.						
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I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 356(b) of any foreign application(s) for patent or inventor's certificate, or 356(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.						
o identified below, by on having a filing date	checking the box.	any forei	on application for	or patent or inver	nted States of ntor's certificate,	
o identified below, by on having a filing date Country	checking the box.	any forei application	on application for	or patent or inver ority is claimed.  Certified Co	opy Attached?	
on having a filing date	e before that of the	any forei application	gn application for on which price	or patent or inver ority is claimed.	ntor's certificate,	
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on having a filing date	Foreign Filin (MM/DD/Y)	any forei applicati g Date YYY)	gn application for on which price.  Priority Not Claimed	Certified Co YES	opy Attached?  NO  X  X	
	and sole inventor (if online) abject matter which is IMERCE SYS (Title)  (Title)  and was and understand the cifically referred to above information which is efits under 35 U.S.C.	and sole inventor (if only one name is listed ubject matter which is claimed and for what is a subject matter which is claimed and for what is a subject matter which is claimed and for what is a subject matter of the Invention)  (Title of the Invention)  and was amended on (Matter of the about the information which is material to patental effits under 35 U.S.C. 119(a)-(d) or 35(a)	and was amended on (MM/DD/Y) and understand the contents of the above identicifically referred to above.  einformation which is material to patentability as defits under 35 U.S.C. 119(a)-(d) or 356(b) of an	and was amended on (MM/DD/YYYY)  and understand the contents of the above identified specification cifically referred to above.	and sole inventor (if only one name is listed below) or an original, first and joint inversible that the invention end of the invention)  (Title of the Invention)  and was amended on (MM/DD/YYYY)  and understand the contents of the above identified specification, including the claim of the information which is material to patentability as defined in 37 CFR 1.56.	

[Page 1 of 2]
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Additional inventors are being named on the

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Address Suite 200  City Los Angeles State CA ZIP 90064  Country Telephone 310-470-6852 Fax 310-470-3673  hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are unurishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the pplication or any patent issued thereon.  Name of Sole or First Inventor: Apetition has been filled for this unsigned inventor Given Name (first and middle [if any])  Family Name or Surname  PETER M. BLACK  Inventor's Signature  Residence: City Pacific Palisades State CA Country USA Citizenship USA  Post Office Address  Post Office Address  Pacific		a valid	OMB control nu	mber.			•	·		·						
U.S. Parent Application or PCT Parent Number  Parent Filing Date (MM/DD/YYY)  August 1, 2000 October 31,	DE	CLA	RATIO	N	- <b>U</b>	tility	/ or [	Desig	n	Pate	nt /	Αрр	licatio	on		
Number    MM/DD/YYYY   (if applicable)	United States United States information will	of Ameri or PCT In hich is ma	ca, listed below ternational appli iterial to patenta	and, ins cation in bility as	ofar as the ma defined	the sub inner pro d in 37 C	ject matter vided by th FR 1.56 w	of each of e first parag	the graph	claims of the of 35 U.S.	is applic C. 112, I	cation is acknow	not disclosed dedge the dut	I in the prior to disclose		
August 1, 2000 October 31, 2000  Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02C attached hereto.  Is a named inventor, I hereby appoint the following registered practioner(s) to prosecute this application and to transact all business in the Patent number of the patent	U	S. Par			PCT	Parent										
Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02C attached hereto.  sa a named inventor, Thereby appoint the following registered practioner(s) to prosecute this application and to transact all business in the Patent of Trademark Office connected therewith:   Customer Number   Drace Customer Numb	09/630,22	27	Numb										(п аррпса	ore)		
ss a named inventor, I hereby appoint the following registered practioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:    Customer Number	09/703,00	)6														
Customer Number   Core   Cor	Additiona	al U.S. or	PCT internation	al applic	ation n	umbers a	are listed c	n a supplei	ment	al priority d	ata shee	t PTO/S	SB/02C attach	ed hereto.		
Registration Number  Registration Number  Registration Number  SANFORD ASTOR  20748  Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.  Direct all correspondence to: Customer Number or Bar Code Label  Name SANFORD ASTOR, Stall, Astor & Goldstein  SanFORD ASTOR, Stall, Astor & Goldstein  Suite 200  City Los Angeles State CA ZIP 90064  Country Telephone 310-470-6852 Fax 310-470-3673  hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are eleleved to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are unustrable by fine or imprisoment, or both, under 16 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the upplication or any patent issued thereon.  Name of Sole or First Inventor: Apetition has been filed for this unsigned inventor  Given Name (first and middle [if any]) Family Name or Sumame  PETER M. BLACK  Inventor's Signature  PostOffice Address  PostOffice Address  Pacific				ith:	Custon OR	ner Numb	oer					→┌	Place Cust Number Bar	omer Code		
Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.  Direct all correspondence to:	i	Nam	е	<u> </u>	registe	Registi	ration	lame/regist	allo			·-	Registration			
Name SANFORD ASTOR, Stall, Astor & Goldstein    SANFORD ASTOR Stall, Astor & Goldstein	SANFOR		<del></del>		207		DEI									
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Name SANFORD ASTOR, Stall, Astor & Goldstein    Santa	Additional	registere	d practitioner(s) r	named o	n suppl	emental l	Registered	Practitione	r Info	rmation she	eet PTO	SB/02C	attached here	eto.		
Name SANFORD ASTOR, Stall, Astor & Goldstein  Address 10507 West Pico Blvd.  Address Suite 200  City Los Angeles State CA ZIP 90064  Country Telephone 310-470-6852 Fax 310-470-3673  hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are unsinshable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the pplication or any patent issued thereon.  Name of Sole or First Inventor: Apetition has been filed for this unsigned inventor Given Name (first and middle [if any])  Family Name or Surname  PETER M. BLACK:  Date 12/14  Residence: City Pacific Palisades State CA country USA Citizenship USA  Post Office Address  Post Office Address  Pacific	Direct all cor	responde			-						Χc	опеѕро	ondence add	ress below		
Suite 200  City Los Angeles State CA ZIP 90064  Country Telephone 310-470-6852 Fax 310-470-3673  hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are unishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the polication or any patent issued thereon.  Name of Sole or First Inventor:  Given Name (first and middle [if any]) Family Name or Surname  PETER M.  Inventor's Signature  Date 12/14  Post Office Address 881 Alma Real Drive	Name	SAN	FORD ASTOR, Stall, Astor & Goldstein													
Country	Address	1050	97 West Pico Blvd.													
hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are uninshable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the uninshable of the polication or any patent issued thereon.    A petition has been filed for this unsigned inventor	Address	Suite	200								<del> </del>					
hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the piplication or any patent issued thereon.  Name of Sole or First Inventor:  Given Name (first and middle [if any])  PETER M.  Inventor's  Signature  Residence: City  Pacific Palisades  State  CA  Country  USA  Citizenship  USA  Post Office Address  Pacific	City	Los A	angeles					State	State CA ZIP 90			9006	)064			
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supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto



Please type a plus sign (+) inside this box ->

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## **ADDITIONAL INVENTOR(S) DECLARATION** Supplemental Sheet Page 1 of 1

Name of Additio	nal Joint Inventor, if an	ıy:			A petitio	n has been	filed for	this unsi	gned in	ventor	
Given Name (first and middle [if any])						Family Name or Surname					
Anthony Bryan				Wate	rs						
Inventor's Signature	Blue	J						Dat	e 1	2/14/00	
Residence: City	Rowlett	State	TX		Country	USA		Citizer	nship	J <b>SA</b>	
Post Office Address	8810 Wood Glen										
Post Office Address						<del></del>					
City	Rowlett	State	TX		ZIP 7	75088	Cou	ntry USA	<u> </u>		
Name of Additio	nal Joint Inventor, if an	ıy:			A petitio	on has been	filed for	this unsi	gned in	ventor	
Given Na	me (first and middle [if any]	])			Family Name or Surname						
Inventor's Signature						-	_		ate		
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STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(b)) - INDEPENDENT INVENTOR

Docket Number (Optional) 50740(3)

Applicant Patentary sydnantifier	PETER M. BLACK, ANTHONY B	RYAN WATERS				
Application XX Rate XXXVo.:	E E E E E E E E E E E E E E E E E E E	ICITIIV WILLIAM				
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Filedxxiexxed:						
Title: TARGETED E-COM	MERCE SYSTEM					
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	eby state that I qualify as an independent es to the Patent and Trademark Office desc					
$\overline{X}$ the specification filed herew	rith with title as listed above.					
the application identified about	ove.					
the patent identified above.						
I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).						
Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:						
No such person, concern	, or organization exists.					
X Each such person, conce etronica.com corpo	rn, or organization is listed below.					
Separate statements are required stating their status as small entitle	from each named person, concern, or orga s. (37 CFR 1.27)	nization having rights to the invention				
entitlement to small entity status	this application or patent, notification of any prior to paying, or at the time of paying, e on which status as a small entity is no long	the earliest of the issue fee or any				
PETER M. BLACK	ANTHONY BRYAN WATERS					
NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR				
JOHN -	191.110 T					
Signature of inventor	Signature of inventor	Signature of inventor				
12/14/00	12/14/00					
12/14/00 Date	12/14/00 Date	Date				
•						





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

BLACK, PETER M.; WATERS, ANTHONY BRYAN

Application No.:

Filed on:

Title:

TARGETED E-COMMERCE SYSTEM

## STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(b)--SMALL BUSINESS CONCERN

I hereby state that I am an official of the small business concern empowered to act on behalf of the concern identified below:

etronica.com corporation 881 Alma Real Drive

Pacific Palisades, CA 90272

I hereby state that the above identified small business concern qualifies as a small business concern, as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office under Sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to, and remain with, the small business concern identified above, with regard to the invention described in the specification filed herewith, with title as listed above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c), if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

No such person, concern, or organization exists.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no

(Small Entity-Small Business--page 1 of 3)



longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Peter M. Black 881 Alma Real Drive Pacific Palisades, CA 90272 US

**SIGNATURE** 

Date 12/14/00